About the College

The College for Financial Planning is a regionally-accredited institution of higher education accredited by the Higher Learning Commission, or HLC.

The College offers two Master of Science degree programs, nine proprietary professional designations, and the CFP Certification Professional Education Program. Founded in 1972, the College is the country's most established provider of financial planning education and has over 155,000 graduates from its Master's and non-degree programs.

- AAMS® | Accredited Asset Management Specialist℠
- ADPA® | Accredited Domestic Partnership Advisor℠
- APMA® | Accredited Portfolio Management Advisor℠
- AWMA® | Accredited Wealth Management Advisor℠
- CMFC® | Chartered Mutual Fund Counselor℠
- CRPC® | Chartered Retirement Planning Counselor℠
- CRPS® | Chartered Retirement Plans Specialist℠
- CSRIC™ | Chartered SRI Counselor™
- MPAS® | Master Planner Advanced Studies℠
- FPQP™ | Financial Paraplanner Qualified Professional™

Are all trademarks owned by the College for Financial Planning and are awarded to individuals who successfully complete the College's initial and ongoing designation requirements.

COLLEGE FOR FINANCIAL PLANNING®
A KAPLAN COMPANY

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800.237.9990 | CFFPINFO.COM | CFFPDESIGNATIONS.COM

Chartered SRI Counselor CSRIC

What You Need to Know About the CSRIC™ Designation

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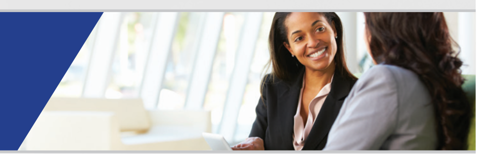

Why should you work with an CSRIC™ designee?

THE COLLEGE'S STANDARDS OF PROFESSIONAL CONDUCT

The Standards of Professional Conduct establish minimum standards of acceptable professional conduct for persons authorized to use the College's designations. Violations are subject to disciplinary procedures.

Integrity

Provide professional services with integrity, honor, fairness, and dignity and maintain client trust and confidence.

Objectivity

Maintain objectivity and impartiality with respect to services rendered and advice given.

Competency

Maintain an adequate level of knowledge and skill and effectively apply that knowledge while recognizing its limitations.

Confidentiality

Keep client information confidential, disclosing only when authorized or compelled by law.

Professionalism

Comply with all laws and regulations as required and applicable, refraining from actions that bring dishonor to you or your profession.

Meet Your Unique Needs

Learn about your financial planning options by turning to a professional educated in sustainable, responsible, and impact (SRI) investments.

The CSRIC™ program provides financial advisors and investment professionals with foundation knowledge of the history, definitions, trends, portfolio construction principles, fiduciary responsibilities, and best practices for sustainable, responsible, and impact (SRI) investments.

The College provides the nation's only professional designation specifically focused on SRI principles

Get Expert Advice

A CSRIC™ designee can design the optimal financial portfolio for clients while focusing specifically on environmental, social, and governance (ESG) factors and SRI principles.

EDUCATIONAL PROGRAM | The College for Financial Planning provides CSRIC™ students with a thorough education focusing exclusively on planning with a focus on SRI investments. Study materials are written and updated regularly by the College's full-time faculty, who specialize in financial planning, and provide the most current information on the subject.

CLIENT NEEDS ASSESSMENT | The CSRIC™ program teaches students how to assess a client's situation and needs—and then evaluate financial options and make appropriate recommendations.

CSRIC™ MARK | To be entitled to use this mark, candidates must successfully complete the specialized program and pass a rigorous examination, abide by standards of professional conduct, comply with self-disclosure requirements regarding their professional conduct, and complete 16 continuing education credits per designation within the two-year authorization period.

Disciplinary Process

The College investigates all complaints filed against a designee and its Ethical Conduct Committee determines whether the allegations are justified and if the conduct warrants disciplinary action. Complaints may be filed using the Complaint Submission form found on the College's Financial Designation Resource site: *www.cffpdesignations.com.*

If a violation of the Standards of Professional Conduct is found, disciplinary sanctions shall be based on the seriousness of the situation and may include, but are not limited to, reprimand, suspension, or revocation.

Status Verification

An individual's authorization to use one of the College's designations can be verified online at: *cffpdesignations.com* or by phone at *800-237-9990.*